



Atty. Dkt. No. 018889-0156

WT
107-0
#16

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hiroyasu KOIZUMI et al.

Title: HEAT EXCHANGER CORE,
AND METHOD OF
ASSEMBLING THE HEAT
EXCHANGER CORE

Appl. No.: 09/461,211

Filing Date: 12/15/1999

CPA Filed: 09/24/2001

Examiner: C. Atkinson

Art Unit: 3743

OCT - 1 2001
TC 3700 MAIL ROOM

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CONTINUED PROSECUTION APPLICATION (CPA)
REQUEST TRANSMITTAL

Commissioner for Patents
Box CPA
Washington, D.C. 20231

Sir:

This is a request under 37 C.F.R. § 1.53(d), Continued Prosecution Application (CPA), for a:

Continuation Divisional

application of the above-identified prior nonprovisional application of:

Hiroyasu KOIZUMI
Horishi CHIKUMA

in which no payment of issue fee, abandonment, or termination of proceedings has occurred. Priority to the above-identified prior application is hereby claimed under 35 U.S.C. § 120 for this CPA.

- Applicant claims small entity status under 37 CFR 1.27.
 Please enter the unentered amendment previously filed on ____ in the prior application.
 Please enter the enclosed Preliminary Amendment.

Enclosed are:

09/25/2001 CNGUYEN 00000150 09461211

02 FC:131

710.00 0P

- Small Entity statement.
- Information Disclosure Statement.
- Form PTO-1449 with copies of ___ listed reference(s).
- Application Data Sheet (37 CFR 1.76).

The filing fee is calculated below:

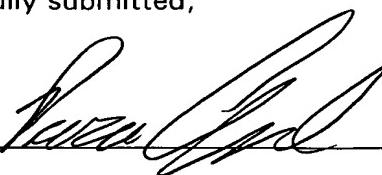
	Claims as Amended	Previously Paid For	Extra Claims	Rate	Fee Totals
Basic Fee				\$710.00	\$710.00
Total Claims:	15	- 20	= 0	x \$18.00	= \$0.00
Independents:	3	- 3	= 0	x \$80.00	= \$0.00
If any Multiple Dependent Claim(s) present:				+ \$270.00	= \$0.00
				SUBTOTAL:	= \$710.00
<input type="checkbox"/> Small Entity Fees Apply (subtract ½ of above):					
				TOTAL FILING FEE:	= \$710.00

- Applicant requests suspension of action under 37 CFR 1.103(b) (fee under 37 CFR 1.17(i) enclosed).
- A check in the amount of \$710.00 to cover the filing fee is enclosed.
- The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

By



Pavan K. Agarwal
Attorney for Applicant
Registration No. 40,888

Date September 24, 2001

FOLEY & LARDNER
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. 018889/0156



b6
10-1-01
P. Arnold
17/c

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PRELIMINARY AMENDMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

With the filing of the present Continued Prosecution Application, please amend the above application as follows:

In the Claims:

In accordance with 37 C.F.R. § 1.121(c)(1), please substitute for claims 1 and 5 the following rewritten version of the same claims, as amended. The changes are shown explicitly in the attached "Version with Markings to Show Changes Made."

1. (Twice Amended) A heat exchanger core comprising:
a pair of header members being spaced with a predetermined clearance therebetween
and disposed opposite to each other;